

Confidential Financial Questionnaire



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| GENERAL INFORMATION | Name: _____ Nickname: _____ DOB: _____ |
| | Address: _____ |
| | Current/Former Employer: _____ Retired: YES NO |
| | Occupation: _____ Employer Address: _____ |
| | Spouse Name: _____ Nickname: _____ DOB: _____ |
| | Current/Former Employer: _____ Retired: YES NO |
| | Occupation: _____ Employer Address: _____ |
| Home Phone: _____ Cell: _____ Spouse Cell: _____ | |
| Email: _____ Spouse Email: _____ | |

| | | | |
|---------------|--|-------|---------|
| INCOME | <i>Please list NET MONTHLY income from each source:</i> | | |
| | | Self: | Spouse: |
| | Salary | _____ | _____ |
| | Social Security (if receiving now) | _____ | _____ |
| | Pension (if taking now) | _____ | _____ |
| | IRA/401(k) Distributions | _____ | _____ |
| | Income from Investments | _____ | _____ |
| Rental Income | _____ | _____ | |
| Other: _____ | _____ | _____ | |

| | |
|-----------------|--|
| EXPENSES | <i>What is the monthly cost to support your lifestyle? (Not just living expenses)</i> |
| | The most accurate way to understand your true living expenses is to add what actually came out of your bank account in the last 12 months. Please consider the following: Utilities, vacations, dinners, hobbies, etc. \$ _____ |

| | | |
|------------------|---|--------------------------------------|
| CASH FLOW | Is your current cash flow sufficient and comfortable? <input type="checkbox"/> Yes <input type="checkbox"/> No | If you answered no, please explain: |
| | Are you planning any major lifestyle changes? <input type="checkbox"/> Yes <input type="checkbox"/> No | If you answered yes, please explain: |
| | Do you anticipate any significant changes in cash flow? <input type="checkbox"/> Yes <input type="checkbox"/> No | If you answered yes, please explain: |
| | Do you foresee purchases greater than \$20,000 within the next 3 years? <input type="checkbox"/> Yes <input type="checkbox"/> No | If you answered yes, please explain: |

The information provided on this form is kept strictly confidential and will not be copied, distributed or shared with any third party. Information gathered is solely for the purpose of better understanding your financial situation and to ensure your time with us is as efficient as possible.

LEGAL ITEMS

Which of the following do you have in place?

- Will Yes No
- Trust Yes No
- Power of Attorney (POA) Yes No
- Financial Power of Attorney Yes No
- (POA) Health Living Will Yes No
- Date last updated: _____

ADD'L INFO

In the near future I expect to: (Please check all that apply)

- Improve a home Start/Expand a business
- Travel Receive an inheritance
- Pay off debt Start a part-time job
- Care for a parent Fund education costs for a family member

LIFE EVENTS

Which of the following do you have in place?

- Long-Term Care Insurance Yes No
- Long-Term Care Insurance (Spouse) Yes No

Total Number of Policies

Total Death Benefit

Life Insurance (His): _____

Life Insurance (Her): _____

REAL ESTATE

| | PRIMARY RESIDENCE | ADD'L PROPERTY #1 | #2 | #3 |
|------------------------------------|-------------------|-------------------|----|----|
| Value | | | | |
| Existing Mtg. Amount | | | | |
| Mtg. Monthly Payment | | | | |
| Rental Property Income (Monthly) | | | | |
| Rental Property Expenses (Monthly) | | | | |

If you own land, what is the total value of land you own: _____

Do you plan on selling any properties you own: Yes No

Do you plan on purchasing any properties: Yes No

ADDITIONAL INFO

What is the main concern for your retirement life savings?

Knowing what you know now, what would you have done differently with your money?

What are you looking for in a financial advisor?

Please rank the following items based on what is **MOST IMPORTANT** to you. (1= Most Important 2= Important 3= Least Important)

SECURITY: _____ GROWTH: _____ LIQUIDITY: _____

CONCERNS

What are your main retirement concerns? Check all that apply.

- Losing too much money in the stock market
- Paying too much in taxes
- Not having a reliable income plan for retirement
- Concerned about losing life savings due to a catastrophic illness
- Outliving nest egg
- Leaving a legacy to children and/or grandchildren
- Need direction with 401(k) and/or IRA accounts
- Exposure to Bond Bubble

Please download and email us back using the 'Upload A File' button on the site.

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